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Handgrip strength accuracy as discriminator of functional independence in centenarian women

Acurácia da força de preensão manual como discriminador da independência funcional em centenárias

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Abstract – The aim of this study was to verify and compare handgrip strength (HS) with activities of the daily living (ADL) and to identify the cutoff point of HGS in the prediction of independence in ADL of centenarians. Twenty-four centenarians with mean age of 101.67 ± 2.80 years participated in the study. Subjects were asked about marital status, schooling (years), diseases, hearing difficulties and activities of the daily living (Katz scale), to classify functional independence. Handgrip strength of the right hand (HSR) and left hand (HSL) (mean of the three measures of each hand) was evaluated by means of manual dynamometer. The U Mann Whitney test was applied to compare HSR and HSL with functions of ADL. To identify the cutoff point (sensitivity and specificity) of the right and left HGS of centenarians in the prediction of independence in functions of ADL, the Received Operating Characteristic (ROC) Curves were used. The following cutoff points were used: 11 Kgf for HSR (sensitivity = 66.7%, specificity = 100%, ROC curve = 0.778) and 9 Kgf for HSL (sensitivity = 66.7%, specificity = 77.8%, curve ROC = 0.730) to predict independence in performing the "bathing" function; 11 Kgf for HSR for the "continence" function (sensitivity = 83.3%, specificity = 66.7%, ROC curve = 0.712); and 9 Kgf for HSL for "transfer" function (sensitivity = 80%, specificity = 71.4%, ROC curve = 0.786). For these functions, sensitivity and specificity presented values greater than 66%. On all these functions, sensitivity showed values higher than 46% and specificity 77%. Minimum HSR is required to perform functions of ADL independently. The best HSR cutoff point to predict independence of subjects differs according to functions of activities of the daily living and hand evaluated.

Key words: Centenarians; Independence; Physical

Resumo – Objetivou-se verificar e comparar a força de preensão manual (FPM) com as funções das atividades de vida diária (AVD's) e identificar o ponto de corte dessa força na predição da independência nas AVD's em centenárias. Participaram do estudo 24 centenárias, com média de idade de 101,67±2,80 anos. Foram aplicadas nas idosas, em forma de entrevista, questões sobre o estado civil, escolaridade (anos), doenças, dificuldade auditiva e as atividades de vida diária (escala de Katz), para classificar a independência funcional. Foi avaliada a força da preensão manual direita (FPMD) e esquerda (FPME) (média entre as três medidas de cada mão) das centenárias por meio do dinamômetro manual. Foi aplicado o teste U Mann Whitney para comparar a FPMD e FPME com as funções das AVD's. Para identificar o ponto de corte (sensibilidade e especificidade) da FPM direita e esquerda das centenárias na predição da independência nas funções das ÂVĎ's, foi utilizado as Curvas ROC (Received Operating Characteristic). O ponto de corte de 11 Kgf para a FPMD (sensibilidade=66,7%; especificidade=100%; curva ROC=0,778) e 9 Kgf para a FPME (sensibilidade=66,7%; especificidade=77,8%; curva ROC=0,730) para predizem independência na realização da função "tomar banho"; de 11 Kgf para a FPMD para a função "continência" (sensibilidade=83,3%; especificidade=66,7%; curva ROC=0,712); e de 9 Kgf para a FPME para a "transferência" (sensibilidade=80%; especificidade=71,4%; curva ROC=0,786). Para estas funções a sensibilidade e a especificidade apresentaram valores maiores de 66%. É necessário um nível mínimo de FPM para executar as funções da AVD com independência. O melhor ponto de corte da FPM para predizer a independência de centenárias, difere de acordo com as funções de vida diária e a mão avaliada.

Palavras-chave: Aptidão física; Centenários; Dependência.

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INTRODUCTION

The increase in life expectancy of populations is a worldwide trend¹. Better health conditions and reduced disabilities increase the life expectancy of populations². Between 2010 and 2015, the number of centenarians increased by 50% approximately in the world¹. For this population, functional capacity was considered a good survival predictor³.

The person is independent when performing activities of the daily living (ADL) without supervision, guidance or assistance⁴. The development and maintenance of this capacity maintain the well-being in this population for healthy aging ⁵. Thus, there is a need of knowing the degree of independence for ADL in old age. The human being reaches the peak of functional capacity in adulthood and then starts the functional decline, which is influenced by several factors⁵. One of the factors that can cause this decline in aging is the decrease in muscle strength due to the loss of muscle mass and speed of muscle fiber contraction⁶.

Regarding handgrip strength (HGS), there is an increase in early adulthood, maintenance until middle age and decline from old age; men are on average stronger than women since adolescence; and the decrease in this strength increases with age, reaching prevalence of 23% in men and 27% in women at the age of 80 years⁷.

A systematic review study with meta-analysis found that of the 20 studies that investigated muscle strength, most (n = 13) used HGS as a measure of total muscle strength⁸. The evaluation of HGS is recognized as an important tool in the identification of individuals with sarcopenia and frailty⁷ as a measure to verify the functional performance of frail elderly women⁹, a useful tool to identify mobility limitations in individuals aged 65 or over in the community¹⁰, and a marker of decline in ADL in individuals aged 85 years or older¹¹.

Regarding centenarians, a prospective study found that Asian elderly people with high HGS and healthy lifestyle had increased likelihood of reaching 100 years of age¹². In addition, HGS was associated with better physical function in centenarians¹³. However, there is lack of investigations on HGS, reference values and functional independence in centenarians.

Despite the high and growing number of investigations on the functional health in the elderly⁴⁻⁶, studies with populations of centenarians are still scarce. The search for measures and parameters for assessing the functional fitness of this population is relevant in view of the heterogeneity of the aging process. In addition, it is known that minimum handgrip strength (HGS) level is necessary to perform activities of the daily living, thereby demonstrating the importance of assessing HGS as a general indicator of strength and functionality in individuals at very advanced age, as is the case with centenarians.

In this context, assessing the accuracy of HGS as a discriminating factor of functional independence in centenarian women is useful in clinical practice for the prognosis of independence and to assist health professionals in preventing functional dependence and loss of HGS by adopting the practice of physical exercises.

Thus, the aim of this study was to verify and compare handgrip strength (HGS) with functions of activities of the daily living (ADL) and to identify cutoff points for HGS in the prediction of independence in the performance of these functions in centenarian women.

METHOD

This descriptive research is part of the "SC100: Multidimensional Study of Centenaries of Santa Catarina (SC100)" project¹⁴, which was approved by the Ethics Committee of Research with Human Beings of UDESC, No. 1.468.034 / 2014, under CAAE 21417713.9.0000.0118, and that complies with requirements of the National Health Council under resolution 466/2012.

The study population was composed of 58 centenarians, 41 women and 17 men, living in the metropolitan region of Florianópolis, SC, Brazil. For this study, the inclusion criteria were to be 100 years old or older with supporting document, to be female and to have physical conditions to perform the handgrip strength test. The option for evaluating females was due to the fact that men are stronger in HGS compared to women⁷ and the predominance of women in old age and among the age group of 100 years or older¹⁵. Thus, the sample consisted of 24 centenarian women.

The data collection instrument is the Multidimensional Assessment Protocol for Centenarian Individuals – PAMIC¹⁶, which was developed for the SC100 project, prepared by the Gerontology Laboratory (LAGER), Department of Health and Sport Sciences (CEFID), Santa Catarina State University (UDESC). For the present study, the following questions and PAMIC Blocks were applied, according to the following objectives:

- To confirm the age of centenarians: the date of birth was consulted in the supporting document (questions 2, 3 and 6; Block 01- Identification);
- To characterize the sample as to sex (question 4; Block 01- Identification), marital status (question 47; Block 04 Sociodemographic Information) and diseases (questions 88 to 106; Block 07 Health Conditions and Life Habits);
- To identify the ear that the individual listens best to direct the interview and verbal stimuli during the execution of the HGS test (question 12; Block 02 - Auditory Evaluation);
- To classify independence for activities of the daily living such as bathing, dressing, using the toilet, transfer, continence and feeding (questions 129 to 134; Block 08 - Functional Capacity Assessment). In this block, the Portuguese version of the Katz Scale of independence in activities of the daily living was used⁴. The authors state that the Katz Scale is based on the assessment of the individual's functional

dependence or independence when bathing, dressing, using the toilet, transfer, continence and feeding.

To record the HGS assessment (question 213; Block 16 - Kinanthropometry and Physical Assessment). The HGS test was measured using SAEHAN dynamometer model SH5001 and followed recommendations of the American Society of Hand Therapists¹⁷.

Data collection took place from March 2015 to March 2017. Prior to data collection, training was carried out to prepare interviewers, according to recommendations of the Interviewer Manual: Application and Analysis of the Multidimensional Evaluation Protocol for Centenarian Individuals¹⁴. Subsequently, telephone contact was made with caregiver and / or centenarian, and the invitation to participate in the research was made. Upon acceptance, a date was scheduled for data collection and signature of the Free and Informed Consent Form - TCLE. Subsequently, the ear that the centenarian best listened was identified and the PAMIC¹⁶ questions were applied to individuals or their caregivers in the form of an interview.

The HGS test followed recommendations of the American Society of Hand Therapists, in which individuals should be comfortably seated with adducted shoulder, elbow flexed at 90°, forearm in neutral position and wrist varying from 0 to 30° in extension¹⁷. To perform the test, the evaluator initially presented the equipment to the centenarian to become familiar with the instrument¹⁸. Subsequently, participants were asked to apply the greatest possible strength. Three measurements were made in each hand, respecting the 20-second rest interval between each measurement¹⁹.

Data were organized in the Excel® for Windows software and analyzed using IBM SPSS Statistics version 20.0. In the tabulation of responses, for the analysis of handgrip strength, the highest value among three measures of each hand, right and left, was used. For the analysis of the Katz scale, the sum of responses of centenarians was performed, according to independence and dependence in a given function, according to Lino et al.⁴.

Descriptive analysis was performed, with position and dispersion measures (numerical variables) and absolute and relative frequency (categorical variables). The Shapiro-Wilk test was adopted to verify data normality. The Mann Whitney U test was applied to compare right and left handgrip strength with functions of activities of the daily living. To identify the cutoff point (sensitivity and specificity) of the right and left handgrip strength in the prediction of functional independence and their respective functions, the ROC curves (Received Operating Characteristic) were used. Significance level of 0.05 was adopted.

RESULTS

Twenty-four centenarian women with mean age of 101.67 (SD = 2.80) years participated in this research. Regarding the characteristics of study participants, the majority are widower (91.7%), illiterate (45.8%), with

hearing difficulties (79.2%). The main self-reported diseases were systemic arterial hypertension (50%) and osteoporosis (45.8%) (Table 1).

 Table 1. Characterization of study participants (n = 24)

Variables	f	%
Marital status		
Single	02	8.3
Widower	22	91.7
Schooling (years)		
Illiterate	11	45.8
From 1 to 8 years	9	37.5
9 or + years	4	16.7
Self-reported diseases		
Cardiovascular		
Yes	4	16.7
No	20	83.3
Hypertension		
Yes	12	50.0
No	12	50.0
Diabetes		
Yes	3	8.3
No	22	91.7
Osteoporosis		
Yes	11	45.8
No	13	54.2
Depression		
Yes	4	16.7
No	20	83.3
Hearing difficulties		
Yes	19	79.2
No	5	20.8

As shown in Table 2, it was observed that among functions of activities of the daily living (ADL), "feeding" was the one with the highest number of independent centenarians (n = 23). When comparing the right handgrip strength (HGR) and the left handgrip strength (HGL) with functions of ADL, there is a significant difference among independent centenarians for functions "bathing" and HGR (p = 0.022) and HGL (p = 0.054), "transfer" and HGL (p = 0.042) and "continence" and HGL (p = 0.053).

In the analysis of cutoff points for right handgrip strength (RHGS) and left handgrip strength (LHGS) to predict independence in functions of the ADL of centenarian women, 11 Kgf for RHGS (sensitivity = 66.7%; specificity = 100%; ROC curve = 0.778) and 9 Kgf for LHGS (sensitivity = 66.7%; specificity = 77.8%; ROC curve = 0.730) were identified to predict independence in performing the "bathing" function; 11 Kgf for RHGS for "continence" function (sensitivity = 83.3%; specificity = 66.7%; ROC curve

= 0.712); and 9 Kgf for LHGS for "transfer" function (sensitivity = 80%; specificity = 71.4%; ROC curve = 0.786). For these functions, sensitivity and specificity showed values greater than 66% (Table 3 and Figure 1).

Functions ADL	Classification (n)	HGR (Kgf) Mean (SD)	p-value	HGL (Kgf) Mean (SD)	p-value
Bathing	l (13)	11.97 (4.33)	0.022*	10.48 (4.09)	0.054*
	D (11)	8.01 (3.88)		6.96 (3.37)	
Dressing	I (10)	11.36 (4.84)	0.472	10.40 (4.05)	0.122
	D (14)	9.29 (4.01)		7.78 (4.93)	
Using the toilet	l (15)	10.75 (4.71)	0.558	9.73 (4.65)	0.138
	D (09)	9.16 (3.88)		7.44 (2.62)	
Transfer	l (14)	11.57 (4.61)	0.138	10.14 (4.77)	0.042*
	D (10)	8.18 (3.36)		7.10 (2.06)	
Continence	l (11)	12.04 (4.53)	0.053*	10.09 (3.49)	0.186
	D (13)	8.56 (3.74)		7.84 (4.43)	
Feeding	I (23)	10.15 (4.50)	0.833	8.89 (4.20)	0.917
	D (01)	10.33 (-)		8.33 (-)	

 Table 2. Comparison of right and left handgrip strength of centenarians with classification of functions of activities of the daily living

Note. RHGS = Right handgrip strength; LHGS = Left handgrip strength; ADL = Activities of the daily living; I = Independent; D = Dependent; n = sample number; Kgf = Kilogram force; SD = standard deviation; p-value = significance level; * p < 0.05.

 Table 3. Diagnostic accuracy of right and left handgrip strength to predict independence for functions of activities of the daily living in centenarian women

Strength/ ADL functions	Cutoff point	Sens %	Spe %	Area under the curve (95% CI)	p-value
HGR (Kgf)					
Bathing	11	66.7	100.0	0.778 (0.585-0.971)	0.005*
Dressing	11	50.0	78.6	0.590 (0.306-0.792)	0.460
Use of toilet	10	46.7	77.8	0.570 (0.468-0.907)	0.540
Transfer	11	50.0	90.0	0.680 (0.485-0.915)	0.104
Continence	11	83.3	66.7	0.712 (0.500-0.925)	0.051*
HGL(Kgf)					
Bathing	9	66.7	77.8	0.730 (0.529-0.931)	0.025*
Dressing	12	50.0	92.9	0.680 (0.364-0.845)	0.123
Use of toilet	12	40.0	100.0	0.680 (0.598-0.964)	0.095
Transfer	9	80.0	71.4	0.786 (0.598-0.973)	0.003*
Continence	5	100.0	30.8	0.660 (0.464-0.895)	0.162

Note. HGR = Right handgrip strength; HGL = Left handgrip strength; ADL = Activities of the daily living; Sens = Sensitivity; Spe = Specificity; 95% CI = Confidence Interval; Kgf = kilogram force; p-value = significance level; * p < 0.05.

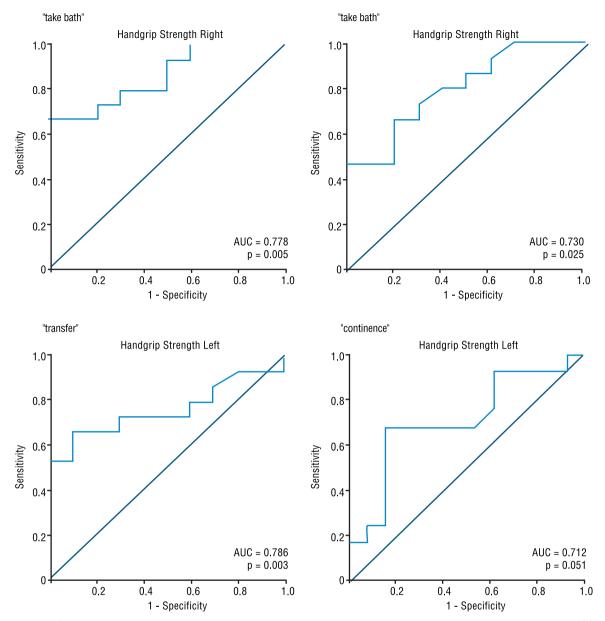


Figure 1. Cutoff point curve of right and left handgrip strength to predict independence in functions of ADL of centenarians. Note. HGS = Handgrip strength.

DISCUSSION

In the present study, the cutoff point of 11 Kgf of HGR and 9 Kgf of HGL was identified so that centenarians independently perform the "bathing" function, 11 Kgf of HGR for the "continence" function and 9 Kgf of HGL for the "transfer" function.

Although literature does not present specific HGS reference values for the population of centenarians, studies with elderly people younger than those of the present study have shown the following results: between 28 Kgf and 29.8 Kgf for men and 18 Kgf and 16.4 Kgf for women aged 75-79 years²⁰; below 16 Kgf for individuals aged 65 or over, who have functional limitations²¹; 17.4 Kgf for women and 25.8 Kgf for men as cutoff points for functional mobility of elderly people aged 65 or over¹⁰; and \leq 14 Kgf for elderly people aged 80-99 years²².

Thus, it was observed that HGS values for both hands of centenarians in this study are lower than those presented in above mentioned studies, which can be explained by the decrease in strength with advancing age and lower values among women^{7,22}. There is a decrease of 1% to 2% per year in skeletal muscle mass after 50 years of age, which influences strength levels²³.

Studies have found a decrease in muscle strength among centenarians when compared to other ages, for example, with octogenarians (50% reduction) and 92-year-old individuals²⁴. Regarding HGS of centenarians, a prospective study followed 2,239 Asians aged 56-68 years for 44 years and found that the likelihood of centenarians reaching longevity occurred, among other factors, due to high HGS and healthy lifestyle¹². Another study followed 11 centenarians with mean age of 101 years for six months and found significantly low HGS values, between 8.5 kgf to 14.5 kgf, and that physical health evaluated by HGS was associated with better physical capacity¹³.

Thus, it is observed that with increasing age, HGS decreases^{12,22,24}. HGS was assessed in most of the 20 prospective studies analyzed in a review, which reported associations between strength measures and functional decline in the elderly⁸. This relationship could also be observed in a research carried out with sample of elderly people aged 85 years¹², who found that low handgrip strength predicts accelerated dependence on ADL and cognitive decline in long-lived older adults. In addition, the authors claim that HGS can be a useful tool in geriatric practice to identify older patients at risk of accelerated decline in ADL.

The functions of ADL - feeding, continence, transfer, use the toilet, dressing and bathing, follow a hierarchy of complexity in the individual's performance in self-care activities, where feeding is less complex and bathing has great complexity⁴. Thus, it appears in the present study that the cutoff points of HGR and HGL found can be used as indicators of independence in functions of "bathing", "continence" and "transfer" of centenarian women.

It was also observed that independent centenarians in the "bathing" function presented higher HGS cutoff point for both hands compared to the other functions - continence and transfer, which are less complex. Population-based study with 1,907 Japanese centenarians concluded that health practices play an important role in preserving ADL and good cognitive and psychosocial status after 100 years of age and should be useful to establish an educational program for the very long-lived population²⁵. In addition, appropriate strategies that allow these individuals to live longer without serious disabilities are necessary, since HGS, as an indicator of frailty and risk of disability among centenarians, will allow early identification and intervention²⁶.

As for the use of HGS as an epidemiological exposure variable for functional capacity, there are indications that it should not be done indiscriminately, but in the case of very old and physically fragile individuals, it is a general indicator of strength and functionality⁹. The limitations of the present study are the sample size and sex. The small number of centenarians is because this very long-lived population group is small compared to others¹⁵. In addition, the study found only HGS in centenarian women, as this gender is predominant in the elderly population¹⁵ and because older women have lower strength compared to men⁷, which can influence functions of activities of the daily living.

One of the strengths of this study is the inclusion of women aged 100 or over, who were able to perform the handgrip test on both hands and who still perform their ADL functions independently or dependently. In addition, the sample is representative of the metropolitan region of Florianópolis, SC, Brazil, considering that the total population of centenarians living in this mesoregion was taken into account, which were 58 centenarians, 41 of whom were women, distributed in 21 municipalities. In Brazil, the 2010 demographic census found 405 centenarians in the state of Santa Catarina, equivalent to 0.65 per 10,000 inhabitants, and the capital, Florianópolis, has 1.14 centenaries per 10,000 inhabitants¹⁵. In relation to the other age groups of older adults, centenarians are still few in Brazil¹⁵.

CONCLUSION

It could be concluded that the best cutoff point for handgrip strength (HGS) to predict functional independence differs according to activities of daily living and the hand that was assessed. Independent centenarians in the "bathing" function presented higher cutoff points for HGS for both hands than for the other functions – continence and transfer – which are less complex. For centenarian women, cutoff points for HGR and HGL can be used as indicators of independence in functions of the daily living "bathing", "continence" and "transfer".

Future studies are needed to determine the cutoff point for HGS for both hands of centenarians, which assess ADL and overall functional capacity, in addition to studies aimed at evaluating modifiable factors for HGS and functional independence.

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COMPLIANCE WITH ETHICAL STANDARDS

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Ethical approval

Ethical approval was obtained from the local Human Research Ethics Committee – State University of Santa Catarina and the protocol (nº 1.468.034/2014, sob o CAAE 21417713.9.0000.0118) was written in acc ordance with the standards set by the Declaration of Helsinki.

Conflict of interest statement

The authors have no conflict of interests to declare.

Author Contributions

Conceived and designed the experiments: ARF and GZM Performed the experiments: ARF and GZM. Analyzed the data: ARF, RELS and GZM. Contributed reagents/materials/analysis tools: ARF, RELS and GZM. Wrote the paper: ARF, RELS and GZM.

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